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# Nonlinear thermo-optical properties of two-layered spherical system of gold nanoparticle core and water vapor shell during initial stage of shell expansion

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## Abstract

Nonlinear thermo-optical properties of two-layered spherical system of gold nanoparticle core and water vapor shell, created under laser heating of nanoparticle in water, were theoretically investigated. Vapor shell expansion leads to decreasing up to one to two orders of magnitude in comparison with initial values of scattering and extinction of the radiation with wavelengths 532 and 633 nm by system while shell radius is increased up to value of about two radii of nanoparticle. Subsequent increasing of shell radius more than two radii of nanoparticle leads to rise of scattering and extinction properties of system over initial values. The significant decrease of radiation scattering and extinction by system of nanoparticle-vapor shell can be used for experimental detection of the energy threshold of vapor shell formation and investigation of the first stages of its expansion.

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## Background

Metal nanoparticles (NPs) and other nanostructures are widely used in nanotechnology, physical chemistry, catalysis, biology, and laser nanomedicine for different purposes during past 10 years [1-15] (also see the references in these papers). The determination of sizes, concentrations, and placements of NPs in different media is carried out by different methods-transmission electron microscopy, small-angle X-ray scattering, laser scanning microscopy, optical diagnostics, etc. [1-15]. In many cases, optical detection and diagnostics of NPs via scattering have the advantages in comparison with others and can be carried out on the base of detection of radiation scattered from NPs placed in some medium (liquid). However, as NP radius  $r_0$  decreases, the scattered intensity drops as  $r_0^6$  and, as result, the detection difficulties will be increased [16]. Effective strategy for solving of this difficulty could be the use of nonlinear

thermo-optical effects as a result of NP optical absorption and heating.

Nonlinear thermo-optical effects can be achieved under the action of laser radiation on NP, absorption of laser energy, NP heating, heat exchange with surrounding liquid, and its explosive vaporization. The liquid evaporates around rapidly heated NP, and spherical vapor shell (bubble) is formed near to an NP surface. It is possible to determine the temperature of heated NP, or determine the thermal refractive index change of ambient medium or formation of vapor shell (bubble) in the heated vicinity of absorbing NP [8,17]. The formation and expansion of vapor nanobubbles is attractive tool for diagnostics and applications in laser nanotechnology [8,18-26]. The resulting shell around laser-heated NP can cause spatial confined and highly localized thermo-mechanical damage to the surrounding medium. This feature should be taken into account for practical applications. The process is observed by means of the detection of transmission and scattering of the probe laser beam with wavelength 633 nm [19,22-26].

Nonlinear thermo-optical properties of two-layered spherical system of gold nanoparticle core and water

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vapor shell, created under laser heating of nanoparticle in water, were theoretically investigated in this paper.

## Results and discussion

At fairly short pulses of intense radiation, heating of NP and surrounding liquid medium (water) can occur at the rates of  $10^{12}$  to  $10^{14}$  K/s and more. Intense heat exchange in the surface layer of the NP drops the surface temperature of the NP and raises the temperature of the surrounding layers of water to a value of the order of the explosive ebullition (boiling) temperature of water [27] and higher. The nucleus vapor bubble originated in overheated water around the particle with achievement of threshold temperature in the range of 373 to 647 K (critical temperature for water) [27]. Thereafter, a very rapid (explosive) ebullition of the water occurs and the system goes into an equilibrium state characterized by the generation of a new phase-water vapor. A vapor shell formed around NP has an initial saturated water vapor pressure of about approximately 1 to  $10^2$  atm at temperature of 100 to 500 C which induces a subsequent rapid expansion of the vapor shell [8].

Experimental investigations of vapor shell (bubble) formation and its dynamics in water under action of laser pulses on NP were carried out in [18-26]. It used gold NPs with diameters 10 to 250 nm [18,19], 9 to 100 nm [20,21], 250 nm [22,23], and 30 nm [24-26] under laser pulse action with wavelengths  $\lambda = 400$  nm [20,21], 532 and 527 nm [22-26], and 900 and 1,064 nm [18,19]. Continuous probe laser ( $\lambda = 633$  nm) was used for monitoring of optical transmission through NP-shell area and for diagnostics of optical properties of NPs with surrounding vapor shells. Small-angle scattering method of the X-ray pulses was used for investigation of bubble properties in [20,21]. Formation and expansion of vapor bubble with radii of about  $3r_0$  and more led to decreasing of transmission of probe laser radiation in mentioned above experiments. Experimental investigations of initial stage of vapor shell expansion did not carry out.

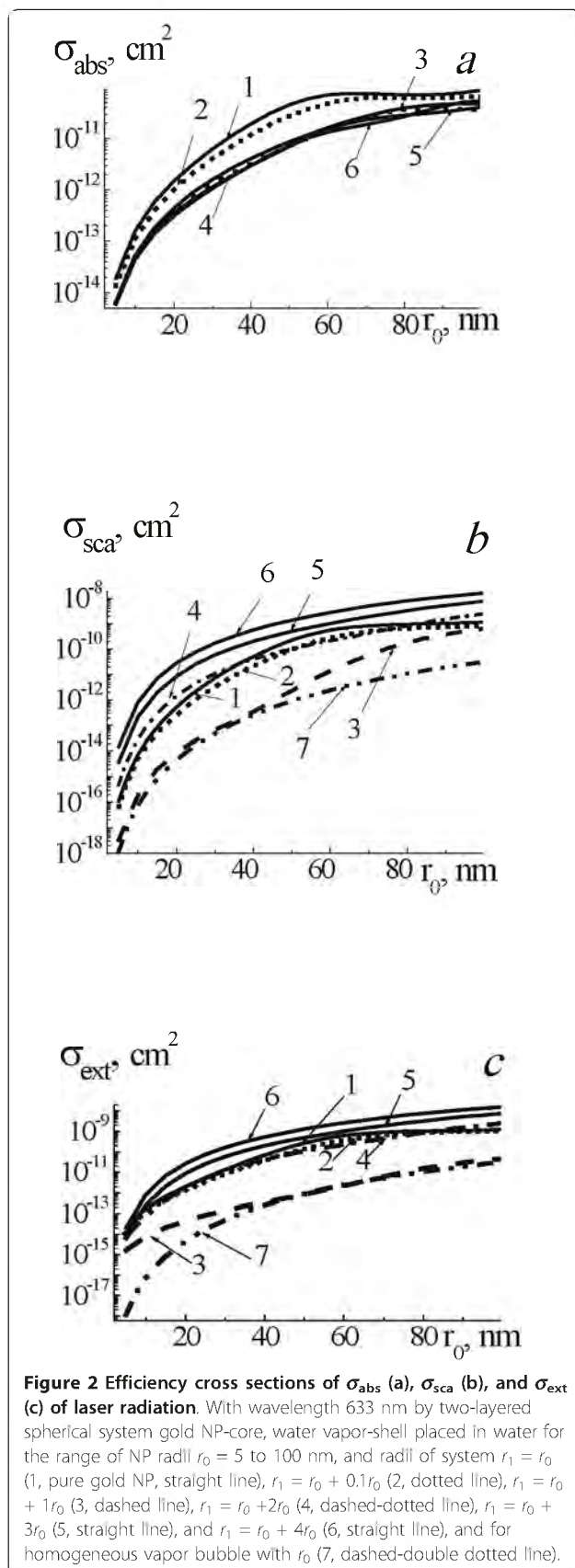
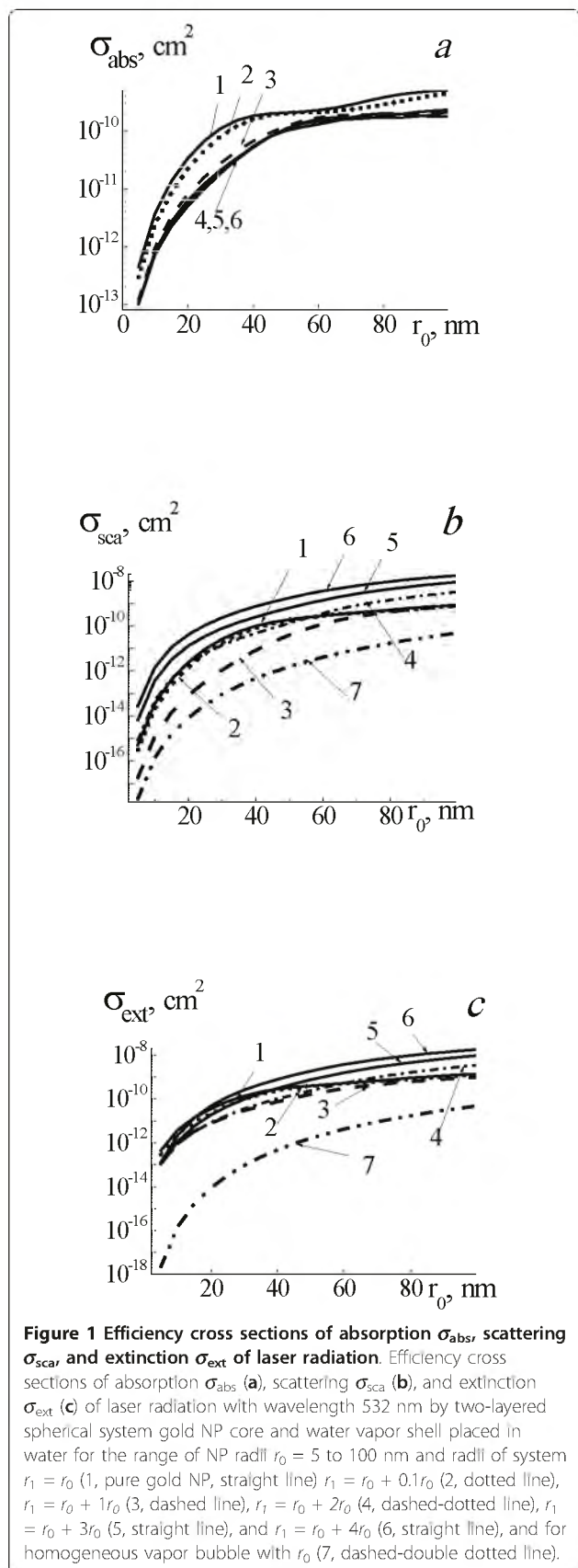
Nonlinear thermo-optical properties of two-layered spherical system of gold nanoparticle core and water vapor shell arising under laser heating of nanoparticle in water are theoretically investigated in this paper. The basic attention was given to the research of initial and following stages of bubble expansion. The investigation was performed on the base of theoretical modeling of absorption, scattering, and extinction of laser radiation with wavelengths  $\lambda = 532$ , 633, and 780 nm by system of NP core-vapor shell. It was assumed that the two-layered spherical system consists of a spherical homogeneous core of radius  $r_0$  with the complex refractive index  $m_0 = n_0 - i\kappa_0$  of core material (gold), enveloped by

the spherically symmetric homogeneous shell of radius  $r_1$  with the complex refractive index  $m_1 = n_1 - i\kappa_1$  of water vapor shell. The particle is located in the homogeneous non-absorbing medium with a refractive index  $n_m$  (water). Absorption  $\sigma_{\text{abs}} = \pi r_1^2 K_{\text{abs}}$ , scattering  $\sigma_{\text{sca}} = \pi r_1^2 K_{\text{sca}}$ , and extinction  $\sigma_{\text{ext}} = \pi r_1^2 K_{\text{ext}}$  efficiency cross sections were numerically calculated, where  $K_{\text{abs}}$ ,  $K_{\text{sca}}$ , and  $K_{\text{ext}}$  are efficiency factors of absorption, scattering, and extinction of radiation [16] by spherical system NP-core and vapor-shell (bubble) with outer shell radius  $r_1$ .

Refractive index  $m_1$  of water vapor is presented in [28] in the ranges of radiation wavelengths 404 to 706 nm, temperature 100°C to 500°C and pressure 1 to 200 bar of water vapor. Analysis of presented values of  $m_1$  shows that the change of refractive index of water vapor  $m_1$  in the interval of wavelengths 404 to 780 nm on refractive index  $m_1$  of water vapor is equal to approximately 0.01% to 0.04% and can be neglected. For computer modeling of optical properties of shell, we choose one average value  $m_1 \approx 1.001 - i0$ . This value of refraction index of water vapor refraction  $m_1$  [28] can be used in the ranges of pressure approximately 5 to 20 bar and temperatures approximately 100°C to 500°C with deviation approximately 1%. Such parameters of water vapor are realized in experiments in real situation of formation and dynamics of bubble under action of moderate intensity (energy density) of laser pulses. Investigations of optical properties of pure gas (vapor) bubbles were carried out in [29]. Optical parameters of gold were taken from [30] and for surrounding water from [31].

Figure 1 presents efficiency cross sections of absorption  $\sigma_{\text{abs}}$ , scattering  $\sigma_{\text{sca}}$ , and extinction  $\sigma_{\text{ext}}$  of laser radiation with wavelength 532 nm by two-layered spherical system gold NP core and water vapor shell, placed in water for the range of NP radii  $r_0 = 5$  to 100 nm and radii of system  $r_1 = r_0$  (pure gold NP),  $r_1 = r_0 + 0.1r_0$ ,  $r_1 = r_0 + 1r_0$ ,  $r_1 = r_0 + 2r_0$ ,  $r_1 = r_0 + 3r_0$ , and  $r_1 = r_0 + 4r_0$ , and for homogeneous vapor bubble with radius  $r_0 = 5$  to 100 nm. Increasing of vapor shell thickness leads to substantial monotonous decreasing of  $\sigma_{\text{abs}}$  from two to eight times for all range of  $5 < r_0 < 100$  nm and for the interval of shell vapor thicknesses  $\Delta r_1 < r_0$ ,  $\Delta r_1 = r_1 - r_0$ . Further increasing of vapor shell thickness weakly influences the  $\sigma_{\text{abs}}$ . It means that the absorbance of laser radiation by the NP is sharply decreased at formation of thin shielded vapor shell and then it is weakly changed for thick shells. Cross section of absorption  $\sigma_{\text{abs}}$  for pure vapor bubble is much smaller than  $\sigma_{\text{abs}}$  for system NP-shell and does not present at Figures 1 and 2.

Even appearance of vapor shells with thickness  $\Delta r_1 \leq r_0$  leads to decrease of  $\sigma_{\text{sca}}$  from 10 to 30 times in the NP radius interval  $5 < r_0 < 50$  nm. When  $\Delta r_1$  becomes

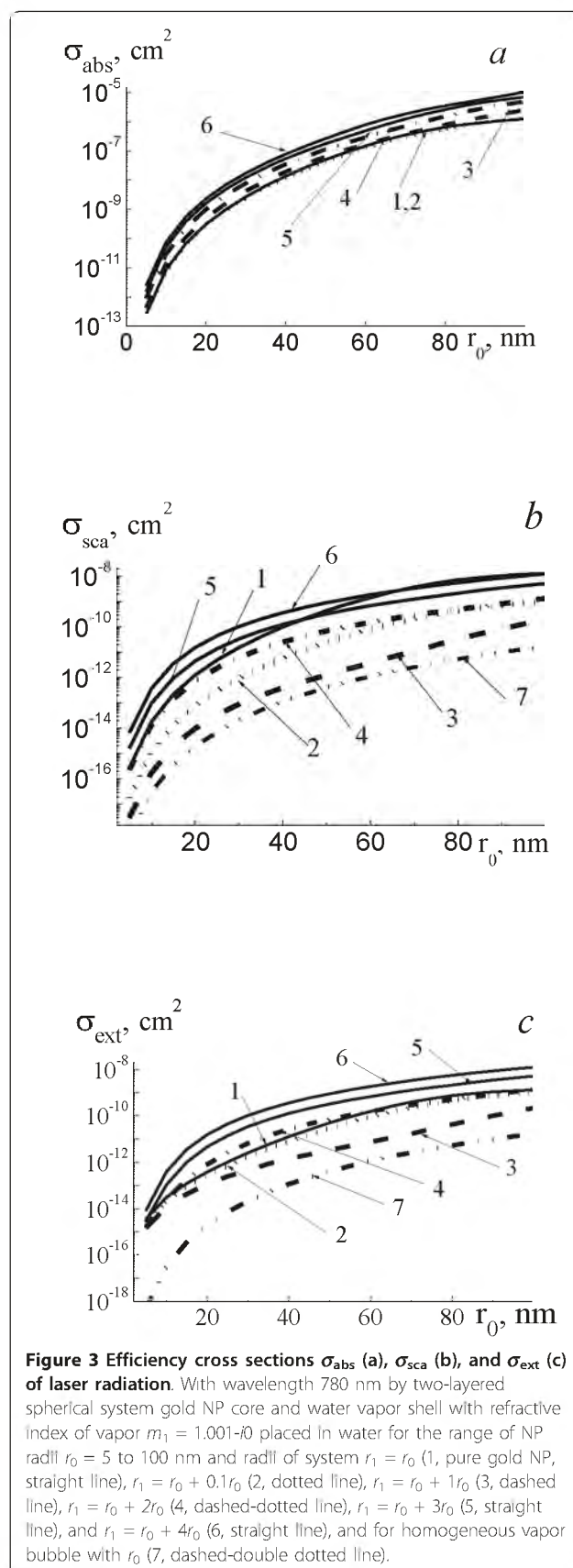


vastly larger than  $r_0$  ( $\Delta r_1 \approx 4r_0$ ), values of  $\sigma_{sca}$  grow from 10 to 50 times for all values of  $r_0$  in comparison with initial value  $\sigma_{sca}$  for pure NP. As to  $\sigma_{ext}$ , the dependences of the extinction of laser radiation of such system NP core-water vapor shell on  $r_0$  and vapor shell thickness  $\Delta r_1$  resemble that in the case of scattering. Values of  $\sigma_{ext}$  decrease for all values of  $r_0$  in the interval of vapor shell thicknesses  $\Delta r_1 \leq r_0$  and then grow at first for large values of core radii and thereafter in all intervals of the core sizes. We see nonlinear dependence of  $\sigma_{sca}$  and  $\sigma_{ext}$  on vapor shell thickness during bubble formation, and increase of  $\Delta r_1$  till  $\Delta r_1 \leq r_0$  leads to significant decrease of  $\sigma_{sca}$  and  $\sigma_{ext}$ . Following increase of  $\Delta r_1 > r_0$  leads to increase of  $\sigma_{sca}$  and  $\sigma_{ext}$ .

Figure 2 presents efficiency cross sections of absorption  $\sigma_{abs}$ , scattering  $\sigma_{sca}$ , and extinction  $\sigma_{ext}$  of probe laser radiation with wavelength 633 nm by two-layered spherical system gold NP core and water vapor shell for the range of NP radii  $r_0 = 5$  to 100 nm and different radii of system and for homogeneous vapor bubble with  $r_0$ . Influence of vapor shell thickness on thermo-optical properties of system NP-vapor shell for probe radiation with wavelength 633 nm is analogical one as for the case of laser radiation with wavelength 532 nm. It is especially extended to the cross sections of absorption  $\sigma_{abs}$  of considered NPs. In the case of the cross sections of scattering and extinction character of dependences  $\sigma_{sca}(r_0)$  and  $\sigma_{ext}(r_0)$  for different values of  $\Delta r_1$  are similar. Furthermore, when increasing  $\Delta r_1$  ( $\Delta r_1 \approx 4r_0$ ), values of  $\sigma_{sca}$  grow from 100 to 10 times in the dependence on the  $r_0$ . Notice that the scattering and extinction cross sections of homogeneous water vapor bubbles of different sizes in water are very small and is 2-3 orders less than for pure gold and two-layered system NP-vapor shell (line 7, Figures 1 and 2).

It is well known that the formation of vapor bubble in liquid leads to significant increasing of radiation scattering, and extinction by bubble and bubble itself can be visible [16,32]. Nonlinear behavior mentioned above (decreasing of  $\sigma_{abs}$ ,  $\sigma_{sca}$ , and  $\sigma_{ext}$  during increasing of  $\Delta r_1$  till  $\Delta r_1 \leq r_0$ ) leads to bleaching of medium during initial stage of vapor shell expansion. This behavior exists for different values of  $m_1$ .

Figure 3 presents efficiency cross sections of absorption  $\sigma_{abs}$ , scattering  $\sigma_{sca}$ , and extinction  $\sigma_{ext}$  of laser radiation with wavelength 780 nm by two-layered spherical system gold NP core and water vapor shell with refractive index of vapor  $m_1 = 1,001-i0$ . The increase of vapor shell thickness till  $\Delta r_1 < r_0$  for  $\lambda = 780$  nm leads to insignificant decrease of efficiency cross sections of absorption  $\sigma_{abs}$  (10% ÷ 15%), and then, at increase in a shell thickness to five times, absorption grows almost in 10 times (Figure 3a). In the case of scattering and extinction of NPs (Figure 3b,c), the dependencies of  $\sigma_{sca}$

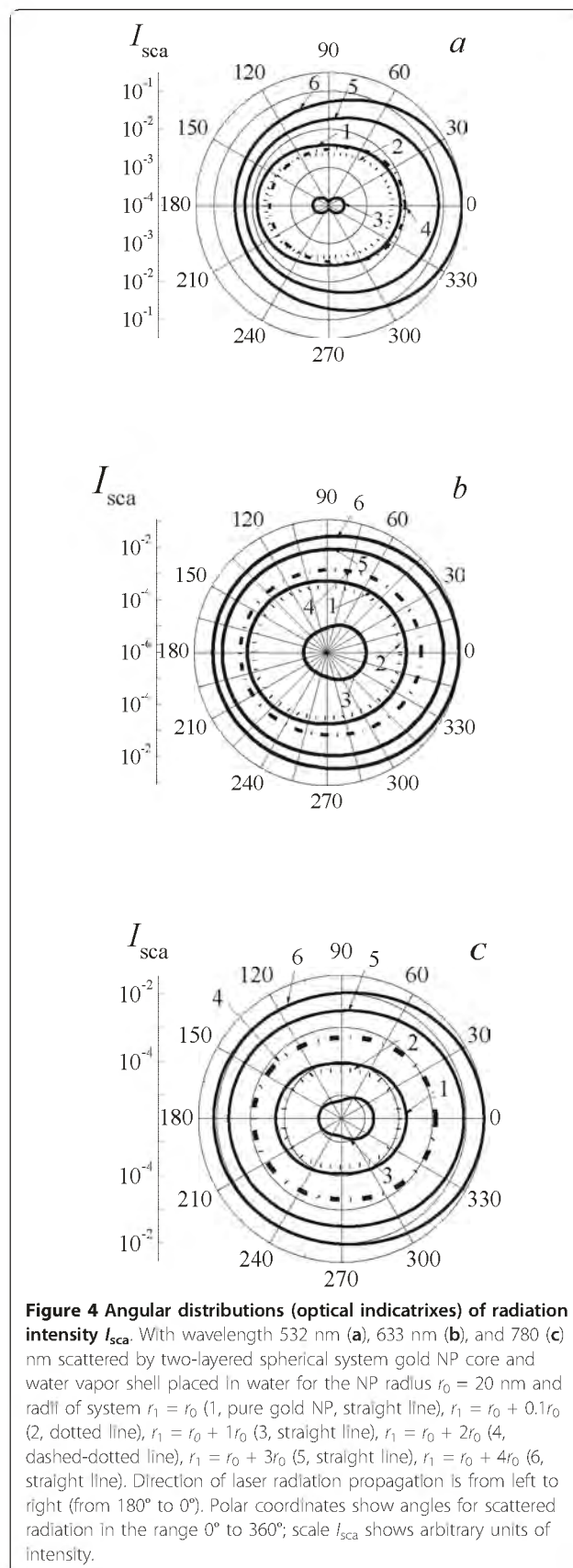


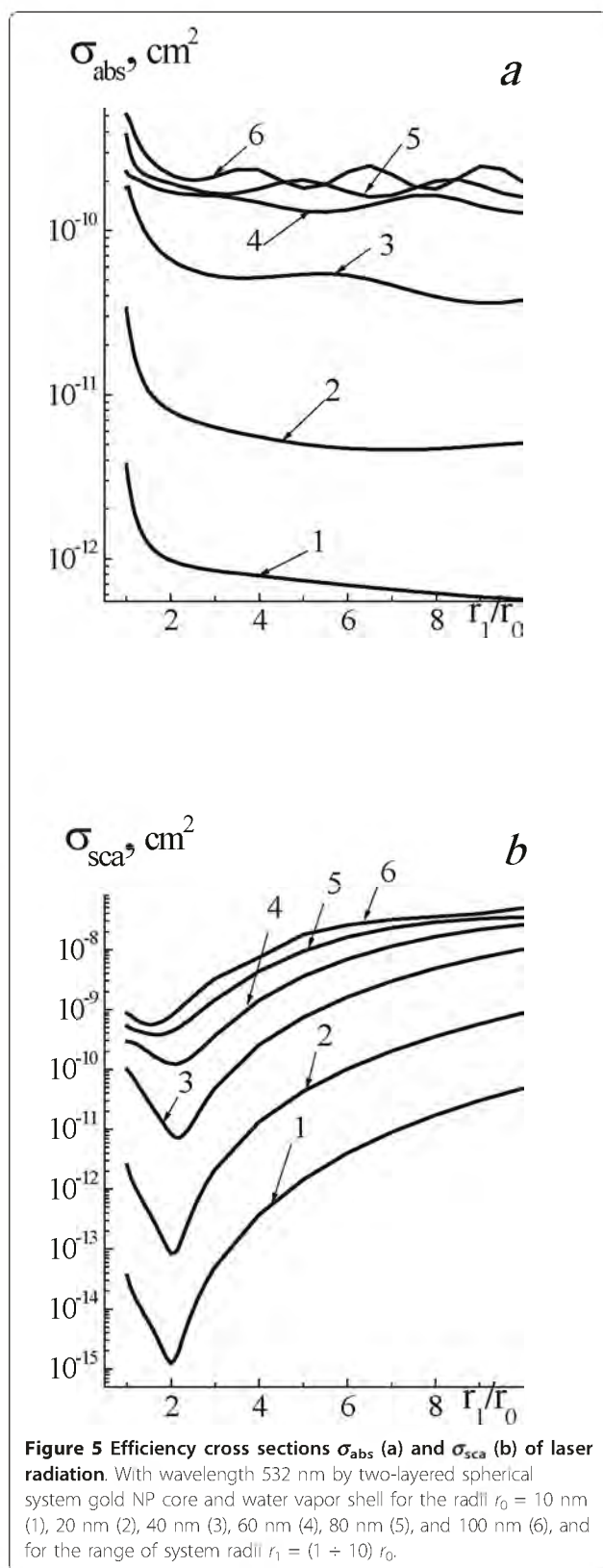
**Figure 3** Efficiency cross sections  $\sigma_{abs}$  (a),  $\sigma_{sca}$  (b), and  $\sigma_{ext}$  (c) of laser radiation. With wavelength 780 nm by two-layered spherical system gold NP core and water vapor shell with refractive index of vapor  $m_1 = 1,001-i0$  placed in water for the range of NP radii  $r_0 = 5$  to 100 nm and radii of system  $r_1 = r_0$  (1, pure gold NP, straight line),  $r_1 = r_0 + 0.1r_0$  (2, dotted line),  $r_1 = r_0 + 1r_0$  (3, dashed line),  $r_1 = r_0 + 2r_0$  (4, dashed-dotted line),  $r_1 = r_0 + 3r_0$  (5, straight line), and  $r_1 = r_0 + 4r_0$  (6, straight line), and for homogeneous vapor bubble with  $r_0$  (7, dashed-double dotted line).

( $r_0$ ) and  $\sigma_{\text{ext}}(r_0)$  for wavelength 780 nm are similar as for other considered wavelengths.

Figure 4 presents angular distributions (optical indicatrices) of radiation intensity  $I_{\text{sca}}$  with wavelengths  $\lambda = 532, 633,$  and  $780$  nm scattered by two-layered spherical system gold NP core and water vapor shell for the NP radius  $r_0 = 20$  nm and different radii of system  $r_1$ . The increase of vapor shell thickness till  $\Delta r_1 \leq r_0$  for  $\lambda = 532, 633,$  and  $780$  nm leads to decrease of scattered radiation intensity in approximately  $50 \div 300$  times in all scattered directions. Only at  $\Delta r_1 \approx 2r_0$  scattering intensity is approximately equal initial distribution of scattered radiation from pure NP. Then, further growth of vapor shell thickness tends to essential increase of scattered radiation intensity (in  $20 \div 100$  times for  $\Delta r_1 = 4r_0$ ) in comparison to the case of pure Au NP. This fact is well correlated with the behavior of  $\sigma_{\text{sca}}$  (Figures 1 and 2). With growth of  $\Delta r_1$ , optical indicatrices become essentially extended in the forward direction (angle  $0^\circ$ ). We have to note that mathematical modeling of optical indicatrices of scattered radiation was independently carried out on the base of optical constants without use of calculated values of  $\sigma_{\text{sca}}$ . This behavior of indicatrices of scattered radiation is additional evidence of nonlinear (decreasing and increasing) properties of system NP core and vapor shell during initial stages of bubble expansion till  $r_1 \leq 2r_0$ .

Figure 5 presents cross sections  $\sigma_{\text{abs}}$  and  $\sigma_{\text{sca}}$  of laser radiation with wavelength 532 nm by two-layered spherical system gold NP core and water vapor shell for the radii  $r_0 = 10, 20, 40, 60, 80,$  and  $100$  nm as a function of the radii relations  $r_1/r_0$  in the interval of radii  $r_1 = (1$  to  $10)r_0$ . As shown in Figure 4a, absorption cross sections  $\sigma_{\text{abs}}$  are decreased for all range of  $1 < r_1/r_0 < 10$  and for the interval of core radii  $10 \text{ nm} \leq r_0 \leq 100 \text{ nm}$ . At first, this decrease is reasonably sharp from two to four times, and then after achievement of value  $\Delta r_1 \leq r_0$ ,  $\sigma_{\text{abs}}$  slowly reduces. It is determined by the shielded effect of vapor shell when irradiation cannot reach the absorbing core. The growth of the core radii results in essential increase of absorption cross sections  $\sigma_{\text{abs}}$  as long as  $r_0 \leq 60 \text{ nm}$ . For  $r_0 \leq 60 \text{ nm}$ , the dependence of  $\sigma_{\text{abs}}(r_0)$  becomes oscillating and undergoes less effect of core radius. Scattering cross sections of  $\sigma_{\text{sca}}$  are also lowered in the interval of  $1 < r_1/r_0 < 2$  (Figure 4b). Then,  $\sigma_{\text{sca}}$  is sharply increased in the interval  $r_1/r_0 = 2$  to  $10$ . Scattering cross section  $\sigma_{\text{sca}}$  is decreased up to one to two orders of magnitude depending on  $r_0$ , for example, for  $r_0 = 20 \text{ nm}$  is decreased from  $\sigma_{\text{sca}} = 2.5 \times 10^{-12} \text{ cm}^2$  ( $r_1/r_0 = 1$ ) to  $\sigma_{\text{sca}} = 8.5 \times 10^{-14} \text{ cm}^2$  ( $r_1/r_0 = 2$ ) for  $\lambda = 532 \text{ nm}$ . After achievement of minimal value,  $\sigma_{\text{sca}}$  increases and at  $r_1 = (2$  to  $3.5) r_0$  cross section  $\sigma_{\text{sca}}$  achieves initial value of  $\sigma_{\text{sca}}(r_1 = r_0)$ . It means that the scattering property of system NP-vapor shell is equal





initial value of  $\sigma_{\text{sca}}$  for pure NP at this value of  $r_1$ . After this moment, the increase of  $r_1/r_0$  leads to growth of  $\sigma_{\text{sca}}$  up to values two to four orders of magnitude greater than initial values of this one. This effect is due to the complicated two-layered spherical system, and the fact that the growth of vapor shell leads to change of median complex refractive index of two-layered NP: the real part of the complex refractive index increases and the imaginary part is decreased. Therefore, at first, the scattering cross sections of NPs fall and then begin to grow when expanding the vapor shell thickness and value of  $r_1/r_0$  increases.

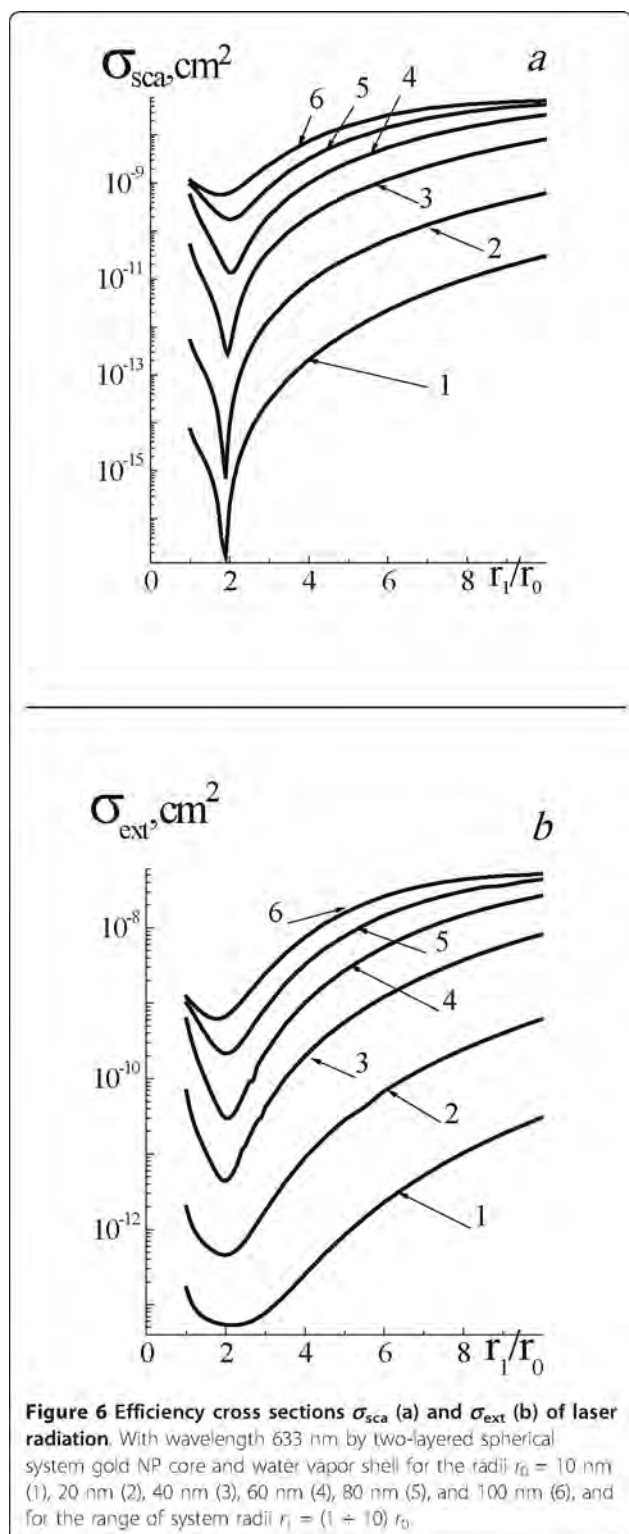
Figure 6 shows the scattering  $\sigma_{\text{sca}}$  and extinction  $\sigma_{\text{ext}}$  cross sections of probe laser radiation with wavelength 633 nm by two-layered spherical system gold NP core and water vapor shell for the radii  $r_0 = 10, 20, 40, 60, 80,$  and  $100$  nm as a function of the radii relations  $r_1/r_0$  in the interval of radii  $r_1 = (1 \text{ to } 10) r_0$ . Influence of vapor shell thickness on scattering properties of system NP-vapor shell for probe radiation with wavelength 633 nm is analogical as for the laser radiation with wavelength 532 nm. Character of dependences  $\sigma_{\text{sca}}(r_0)$  and  $\sigma_{\text{ext}}(r_0)$  for different values of  $r_0$  are similar. Extinction cross section  $\sigma_{\text{ext}}$  is decreased from 2 to 20 times depending on  $r_0$ , for example, for  $r_0 = 40$  nm is decreased from  $\sigma_{\text{ext}} = 6.2 \times 10^{-10} \text{ cm}^2$  ( $r_1/r_0 = 1$ ) to  $\sigma_{\text{ext}} = 3 \times 10^{-11} \text{ cm}^2$  ( $r_1/r_0 = 2$ ) for  $\lambda = 633$  nm.

### Conclusions

We found the general trends of nonlinear behavior of NP-vapor shell system—decrease of absorption and decrease and subsequent increase of scattering and extinction with increasing of shell radius, beginning from the initial period of shell expansion. Vapor shell formation can produce one to two orders of magnitude of decreasing of scattered radiation during initial stage of shell expansion till radius  $r_1 \leq 2r_0$ . The amplification of scattering intensity is mainly due to increasing of shell radius  $r_1 > 2r_0$ .

Such behavior of thermo-optical properties of spherical system gold NP core and water vapor shell depending of shell thickness  $\Delta r_1$ , NP radius  $r_0$ , wavelength, and optical properties of vapor (pressure and temperature of vapor) can open new options for optical detection of the moment of vapor shell formation and investigation of the initial stage of its dynamics with small thickness of vapor shell.

Different situations can be realized. Optical detection of single NP is usually realized by irradiation of probe laser radiation and optical detection of scattered radiation and extinction by NP. Suppose that single NP can



be visualized using of probe radiation without laser pump irradiation and vapor shell formation, it means that optical scattering of radiation by pure single NP is enough to be detected. After laser pump irradiation and shell formation and during initial stage of shell dynamics

with  $\Delta r_1 \leq r_0$ , intensity of scattered radiation by system NP-shell will be decreased (see Figures 1, 2, 3 and 4), and this system could not be visualized. Only after substantial increasing of  $\Delta r_1$  up to  $\Delta r_1 \approx (2 \text{ to } 3) r_0$  and more and increasing of intensity of scattered radiation by system NP-shell it will be possible to visualize this system.

Optical detection of system of NPs in some medium is based on the detection of transmitted radiation through this dispersed medium. The formation of vapor shells with small thicknesses on NPs under pump laser irradiation leads to substantial decreasing of  $\sigma_{ext}$  for probe radiation 633 nm (see Figures 1, 2, and 3). It means that the moment of initial formation of nanoshells around NPs can be detected by increasing of transmitted probe radiation intensity. Then, after substantial increasing of  $\Delta r_1 > r_0$  up to  $\Delta r_1 \approx (2 \text{ to } 5) r_0$  transmitted probe intensity will be decreased.

Applications of laser-induced vapor nanoshells are proposed for selective tissue damage on the cellular level, anticancer therapy, when selective destruction of cells containing NPs can be triggered due to these ones [3-8]. Vapor nanoshells formed around laser-heated NPs can serve as contrast agents in optical diagnostics and optoacoustic tomography, etc. Vapor bubble formation around NPs and its expansion can be used for optical limiting and switching in suspensions.

The significant decrease of radiation scattering and extinction by system of nanoparticle-vapor shell can be used for experimental detection of the energy threshold of bubble formation and investigation of the first stages of its expansion.

## Methods

We used modified Mie theory developed for two-layer spherical system particle-shell [33,32,34,35] to model absorption, scattering, and extinction of pump  $\lambda = 532$  and 780 nm and probe  $\lambda = 633$  nm radiations by spherical system of gold NP core and water vapor shell. The expressions for the optical characteristics of two-layered sphere (efficiency cross sections of absorption, scattering, and extinction) are presented in terms of the amplitude coefficients given by the theory of diffraction of electromagnetic radiation on two-layered spherical particle [33,32,34,35].

## Abbreviations

NPs: nanoparticles.

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## Authors' contributions

VKP and LGA carried out all investigations together. All authors read and approved the final manuscript.

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#### Competing interests

The authors declare that they have no competing interests.

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